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## On the structure of $U_q(sl(m, 1))$ : crystal bases

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**Abstract.** The structure of the quantized enveloping algebra of the Lie superalgebra  $sl(m, 1)$  is studied, crystal bases for its negative part are constructed.

### 1. Introduction

In the application of quantized enveloping algebras of Lie algebras (or Lie superalgebras) to two-dimensional solvable lattice models, the deformation parameter  $q$  essentially plays the role of temperature. At  $q = 0$ , which corresponds to absolute zero temperature, these algebras possess certain canonical bases called crystal bases introduced by Kashiwara [Kas1]. Crystal bases can be constructed for the quantized enveloping algebra of an arbitrary symmetrizable Kac–Moody algebra [Kas2]. Since crystal bases bear many remarkable properties (see [Kas1–Kas4]), there have been recent attempts to extend crystal base theory to the superalgebra case (see [BKK, MZ, Z1]).

In [Kas2], crystal bases for the negative part  $\mathcal{U}^-$  of a quantized enveloping algebra  $\mathcal{U}$  were constructed. These crystal bases have the property that when they are applied to a highest-weight vector of a simple  $\mathcal{U}$ -module  $V$  (of certain type), the non-vanishing elements form a crystal base for  $V$ . The construction uses the action of a reduced form of the quantized enveloping algebra. Similar reduced forms can also be defined for the superalgebra case (for the case  $sl(m, n)$ , see [Z1]). In this paper, we shall construct certain bases for the negative part  $\mathcal{U}^-$  of the quantized enveloping algebra  $\mathcal{U}$  of the Lie superalgebra  $G = sl(m, 1)$ . Since these bases are crystal bases in the sense of [Kas2, 3.5] for the subalgebra  $U_q(G_0) \cong U_q(gl(m))$ , where  $G_0$  is the even part of  $G$ , we shall also call them crystal bases. We first use the results on the reduced version  $\mathcal{B}$  of  $\mathcal{U}$  obtained in [Z1, Z2] to analyse the structure of  $\mathcal{U}^-$  as a  $\mathcal{B}$ -module, then apply the result of [Kas2, 3.5] to  $U_q(G_0)$  to construct these bases. The construction leads to two types of bases, which will be called the upper case crystal base and lower case crystal base, respectively.

In [BKK], a crystal base theory was developed for the Lie superalgebra  $gl(m, n)$  for the category of modules obtained from the tensor products of the natural vector module of  $gl(m, n)$ . The crystal bases constructed in [BKK] are invariant under all Kashiwara operators and behave well with respect to tensor products. Due to the fact that the category of finite-dimensional  $gl(m, n)$ -modules is not completely reducible, a canonical base for  $\mathcal{U}^-$  which is invariant under all Kashiwara operators does not seem to be possible. Nevertheless, the result

of the present paper shows that some naturally constructed bases of  $\mathcal{U}^-$  are actually crystal bases for the subalgebra  $U_q(G_0)$  of  $\mathcal{U}$ .

In section 2, we will recall the definition of  $\mathcal{U}$  and its reduced form  $\mathcal{B}$ , and study some basic properties of  $\mathcal{U}$ . In sections 3 and 4 we will construct crystal bases for  $\mathcal{U}^-$ .

**2. The algebra  $\mathcal{U}$  and its reduced form  $\mathcal{B}$**

As a contragredient algebra, the Lie superalgebra  $G = sl(m, 1)$  ( $m \geq 2$ ) has the  $m \times m$  defining matrix

$$(a_{ij})_{m \times m} = \begin{pmatrix} A_{m-1} & & \\ & & -1 \\ & -1 & 0 \end{pmatrix}$$

where  $A_{m-1}$  is the  $(m - 1) \times (m - 1)$  Cartan matrix of type  $A$ .

To define the quantized enveloping algebra  $\mathcal{U}$  of  $G$ , let  $\mathbb{C}$  be the field of complex numbers, let  $q$  be an indeterminate over  $\mathbb{C}$ , let  $\mathcal{A}$  be the localization of the ring  $\mathbb{C}[q]$  at  $q = 0$  and let  $\mathcal{F} = \mathbb{C}(q)$ . The algebra  $\mathcal{U}$  is an associative  $\mathbb{Z}_2$ -graded algebra over  $\mathcal{F}$  (with 1) generated by  $e_i, f_i, k_i^{\pm 1}$  ( $i = 1, \dots, m$ ), with the grading given by  $\deg(e_i) = \deg(f_i) = 0$  ( $i = 1, \dots, m - 1$ ),  $\deg(k_i^{\pm 1}) = 0$  ( $i = 1, \dots, m$ ),  $\deg(e_m) = \deg(f_m) = 1$ , and the defining relations

$$k_i k_i^{-1} = k_i^{-1} k_i = 1 \quad k_i k_j = k_j k_i \quad 1 \leq i, j \leq m \quad (2.1)$$

$$k_i e_j k_i^{-1} = q^{a_{ij}} e_j \quad k_i f_j k_i^{-1} = q^{-a_{ij}} f_j \quad 1 \leq i, j \leq m \quad (2.2)$$

$$e_i f_j - (-1)^{\deg(e_i)\deg(f_j)} f_j e_i = \delta_{ij} \frac{k_i - k_i^{-1}}{q - q^{-1}} \quad 1 \leq i, j \leq m \quad (2.3)$$

$e_i e_j = e_j e_i$  if  $|i - j| > 1$ , and for  $|i - j| = 1, i \neq m$ ,

$$e_i^2 e_j - (q + q^{-1}) e_i e_j e_i + e_j e_i^2 = 0 \quad (2.4)$$

$f_i f_j = f_j f_i$  if  $|i - j| > 1$ , and for  $|i - j| = 1, i \neq m$ ,

$$f_i^2 f_j - (q + q^{-1}) f_i f_j f_i + f_j f_i^2 = 0 \quad (2.5)$$

$$e_m^2 = 0 \quad f_m^2 = 0. \quad (2.6)$$

As in [Kas2, 1.4], we can define two comultiplications  $\Delta_{\pm}$  on  $\mathcal{U}$ . The comultiplication  $\Delta_+$  is defined by

$$\begin{aligned} \Delta_+(k_i^{\pm 1}) &= k_i^{\pm 1} \otimes k_i^{\pm 1} \\ \Delta_+(e_i) &= e_i \otimes 1 + k_i \otimes e_i \\ \Delta_+(f_i) &= f_i \otimes k_i^{-1} + 1 \otimes f_i \quad 1 \leq i \leq m \end{aligned} \quad (2.7)$$

and the comultiplication  $\Delta_-$  is defined by

$$\begin{aligned} \Delta_-(k_i^{\pm 1}) &= k_i^{\pm 1} \otimes k_i^{\pm 1} \\ \Delta_-(e_i) &= e_i \otimes k_i^{-1} + 1 \otimes e_i \\ \Delta_-(f_i) &= f_i \otimes 1 + k_i \otimes f_i \quad 1 \leq i \leq m. \end{aligned} \quad (2.8)$$

Corresponding to  $\Delta_{\pm}$ , the antipodes of  $\mathcal{U}$  are defined by

$$S_+(k_i) = k_i^{-1} \quad S_+(e_i) = -k_i^{-1} e_i \quad S_+(f_i) = -f_i k_i \quad 1 \leq i \leq m \quad (2.9)$$

and (compare with [Jan, 9.13])

$$S_-(k_i) = k_i^{-1} \quad S_-(e_i) = -e_i k_i \quad S_-(f_i) = -k_i^{-1} f_i \quad 1 \leq i \leq m \quad (2.10)$$

respectively.

We denote by  $U_q(G_0)$  the subalgebra of  $\mathcal{U}$  generated by  $k_i^{\pm 1}$  ( $1 \leq i \leq m$ ),  $e_i$  and  $f_i$  ( $1 \leq i \leq m - 1$ ). Since  $G_0 \cong \mathfrak{gl}(m)$  as a Lie algebra,  $U_q(G_0)$  is the usual quantized enveloping algebra of  $\mathfrak{gl}(m)$ . We denote by  $\mathcal{U}^-$  (respectively  $\mathcal{U}^+$ ) the subalgebra of  $\mathcal{U}$  generated by  $f_i$  (respectively  $e_i$ ),  $1 \leq i \leq m$ , and denote by  $\mathcal{U}^0$  the subalgebra of  $\mathcal{U}$  generated by  $k_i^{\pm 1}$ ,  $1 \leq i \leq m$ . It is known that  $\mathcal{U} = \mathcal{U}^- \mathcal{U}^0 \mathcal{U}^+$ .

The reduced form  $\mathcal{B}$  of  $\mathcal{U}$  is the  $\mathbb{Z}_2$ -graded associative  $\mathcal{F}$ -algebra generated by  $e'_i, f_i$  ( $1 \leq i \leq m$ ) with grading given by  $\deg e'_i = \deg f_i = 0$  ( $i \neq m$ ),  $\deg e'_m = \deg f_m = 1$ , and generating relations

$$e'_i f_j = (-1)^{ab} q^{-a_{ij}} f_j e'_i + \delta_{ij} \quad a = \deg e'_i \quad b = \deg f_j \quad 1 \leq i, j \leq m \quad (2.11)$$

$$e'_i e'_j = e'_j e'_i \text{ if } |i - j| > 1, \text{ and if } |i - j| = 1, i \neq m$$

$$(e'_i)^2 e'_j - (q + q^{-1}) e'_i e'_j e'_i + e'_j (e'_i)^2 = 0 \quad (2.12)$$

$$f_i f_j = f_j f_i \text{ if } |i - j| > 1, \text{ and if } |i - j| = 1, i \neq m$$

$$f_i^2 f_j - (q + q^{-1}) f_i f_j f_i + f_j f_i^2 = 0 \quad (2.13)$$

$$(e'_m)^2 = 0 \quad f_m^2 = 0. \quad (2.14)$$

The following lemma is proved in [Z1, Z2].

**Lemma 2.1.** *For any homogeneous element  $y \in \mathcal{U}^-$  of  $\mathbb{Z}_2$ -grading  $b$  and any  $1 \leq i \leq m$ , there are unique  $y_i$  and  $y'_i$  in  $\mathcal{U}^-$  such that*

$$e_i y - (-1)^{ab} y e_i = \frac{k_i y_i - y'_i k_i^{-1}}{q - q^{-1}} \quad (2.15)$$

where  $a = \deg e_i$ .

Thus we can define endomorphisms  $e'_i : \mathcal{U}^- \rightarrow \mathcal{U}^-$ ,  $1 \leq i \leq m$ , by

$$e'_i(y) = k_i y'_i k_i^{-1} \quad (2.16)$$

where  $y'_i$  is given by lemma 2.1. If we also view  $f_i$ ,  $1 \leq i \leq m$ , as endomorphisms of  $\mathcal{U}^-$ , then  $e'_i$  and  $f_i$  satisfy the defining relations of  $\mathcal{B}$ .

**Lemma 2.2.** *For any  $e'_i$  (considered as an endomorphism of  $\mathcal{U}^-$ ), we have*

$$e'_i(u_1 u_2) = e'_i(u_1) u_2 + (-1)^{ab} k_i u_1 k_i^{-1} e'_i(u_2) \quad (2.17)$$

where  $u_1, u_2 \in \mathcal{U}^-$  are homogeneous elements,  $a = \deg(e'_i)$ , and  $b = \deg(u_1)$ .

**Proof.** Use lemma 2.1 and the definition of  $e'_i$ . □

By using arguments similar to those in the proofs of lemmas 3.4.2 and 3.4.3 of [Kas2], we can prove the following lemma.

**Lemma 2.3.** *The algebra  $\mathcal{U}^-$  is a left  $\mathcal{B}$ -module and*

$$\mathcal{U}^- \cong \mathcal{B} / \sum_{i=1}^m \mathcal{B} e'_i.$$

We need to construct some root vectors of  $\mathcal{U}$ . As in [Kac1, 2.5.4], we use linear functions  $\epsilon_i$  ( $1 \leq i \leq m$ ) and  $\delta_1$  to express the roots of  $G = sl(m, 1)$  and choose

$$\{\alpha_i = \epsilon_i - \epsilon_{i+1}, 1 \leq i \leq m - 1; \beta_m = \epsilon_m - \delta_1\}$$

as a simple root system. Let  $\beta_i = \epsilon_i - \delta_1$ ,  $1 \leq i \leq m$ . Then the set of odd roots of  $G$  is  $\{\pm\beta_i : 1 \leq i \leq m\}$ . Let

$$Q^- = \sum_{i=1}^{m-1} a_i \alpha_i + a_m \beta_m \quad a_i \in \{0, 1, 2, 3, \dots\} \quad 1 \leq i \leq m.$$

Then we have the usual weight subspaces decomposition

$$\mathcal{U}^- = \sum_{\lambda \in Q^-} \mathcal{U}_\lambda^-.$$

Recall that for a quantized enveloping algebra  $U$  of a Lie superalgebra with comultiplication  $\Delta$  and antipode  $S$ , the adjoint action is defined by

$$ad_q x(y) = \sum (-1)^{\deg(a)\deg(y)} ayS(b) \tag{2.18}$$

where  $x$  and  $y$  are homogeneous elements of  $U$  and  $\Delta x = \Sigma a \otimes b$ . It is easy to verify that the  $\mathbb{C}$ -linear map  $\theta : \mathcal{U} \rightarrow \mathcal{U}$  defined by

$$\theta e_i = f_i \quad \theta f_i = e_i \quad \theta k_i = k_i^{-1} \quad \theta q = q^{-1} \tag{2.19}$$

and  $\theta(uv) = \theta(v)\theta(u)$ ,  $u, v \in \mathcal{U}$ , is a  $\mathbb{C}$ -algebra anti-automorphism of  $\mathcal{U}$ .

Now we use  $(\Delta_+, S_+)$  and  $(\Delta_-, S_-)$  to construct two sets of odd root vectors of  $\mathcal{U}$ , respectively.

Define the following root vectors of  $\mathcal{U}^-$ :

$$\begin{aligned} f_{\beta_m} &= f_m \\ f_{\beta_{m-1}} &= q^{-1} f_m f_{m-1} - f_{m-1} f_m \\ f_{\beta_{m-2}} &= q^{-1} f_{\beta_{m-1}} f_{m-2} - f_{m-2} f_{\beta_{m-1}} \\ &\dots \\ f_{\beta_1} &= q^{-1} f_{\beta_2} f_1 - f_1 f_{\beta_2}. \end{aligned} \tag{2.20}$$

Note that if we set  $\theta(f_{\beta_i}) = e_{\beta_i}$ ,  $1 \leq i \leq m$ , then we have

$$e_{\beta_{m-i}} = q ad_q e_{m-i}(e_{\beta_{m-i+1}}) \quad 1 \leq i \leq m - 1 \tag{2.21}$$

with the adjoint action corresponding to  $(\Delta_+, S_+)$ .

By using  $(\Delta_-, S_-)$ , we define a different set of negative odd root vectors  $f_{\beta_i}^-$ ,  $1 \leq i \leq m$ , as the following:

$$f_{\beta_m}^- = f_m \quad f_{\beta_{m-1}}^- = ad_q f_{m-1}(f_m) \quad \dots \quad f_{\beta_1}^- = ad_q f_1(f_{\beta_2}^-). \tag{2.22}$$

Note that by definition,

$$f_{\beta_i}^- = f_i f_{\beta_{i+1}}^- - q f_{\beta_{i+1}}^- f_i \quad 1 \leq i \leq m - 1. \tag{2.23}$$

### 3. Lower case crystal base

We have the following lemma.

**Lemma 3.1.** For  $1 \leq i \leq m - 1$ , we have

$$e'_i(f_{\beta_{i_1}}^- f_{\beta_{i_2}}^- \cdots f_{\beta_{i_k}}^-) = 0 \quad 1 \leq i_1 < i_2 < \cdots < i_k \leq m \quad 1 \leq k \leq m.$$

**Proof.** Since  $e_i$  commutes with 1, by the definition of  $e'_i$  we have  $e'_i(1) = 0$ . By (2.3) and (2.15) we have

$$e'_i(f_j) = \delta_{ij} \quad 1 \leq i, j \leq m. \quad (3.1)$$

We claim that

$$e'_i(f_{\beta_j}^-) = 0 \quad 1 \leq i \leq m - 1 \quad 1 \leq j \leq m. \quad (3.2)$$

In fact, by (2.3), (2.17) and (3.1) we have  $e'_i(f_{\beta_j}^-) = 0$  for  $j > i$ , hence use (2.23), we have

$$\begin{aligned} e'_i(f_{\beta_i}^-) &= e'_i(f_i) f_{\beta_{i+1}}^- - qk_i f_{\beta_{i+1}}^- k_i^{-1} e'_i(f_i) \\ &= f_{\beta_{i+1}}^- - qq^{-1} f_{\beta_{i+1}}^- \\ &= 0. \end{aligned}$$

By using induction on  $k$  and (2.17), it is clear that  $e'_i(f_{\beta_{i-k}}^-) = 0$ ,  $0 < k < i$ , and therefore (3.2) follows. Now (2.17) and (3.2) imply the desired result.  $\square$

Let

$$X^- = \{f_{\beta_{i_1}}^- f_{\beta_{i_2}}^- \cdots f_{\beta_{i_k}}^- : 1 \leq k \leq m, 1 \leq i_1 < i_2 < \cdots < i_k \leq m\} \cup \{1\}.$$

For  $1 \leq i \leq m - 1$ , let  $\tilde{f}_i$  and  $\tilde{e}_i$  be the operators defined in [Kas2, 3.5] and let

$$B'(\infty) = \{\tilde{f}_{i_1} \cdots \tilde{f}_{i_t} \cdot x : x \in X^-, 1 \leq i_1, \dots, i_t \leq m - 1\}.$$

Let  $\tilde{f}_m = f_m$ ,  $\tilde{e}_m = e'_m$ . Let  $L_-(\infty)$  be the  $\mathcal{A}$ -submodule of  $\mathcal{U}^-$  spanned by  $B'(\infty)$  and let  $B_-(\infty)$  be the image of  $B'(\infty)$  in  $L_-(\infty)/qL_-(\infty)$ .

**Theorem 3.2.** The pair  $(L_-(\infty), B_-(\infty))$  has the following properties:

- (a)  $L_-(\infty)$  is a free  $\mathcal{A}$ -module and it generates  $\mathcal{U}^-$  as a vector space over  $\mathcal{F}$ .
- (b)  $L_-(\infty) = \bigoplus_{\lambda \in Q^-} L_-(\infty)_\lambda$ , where  $L_-(\infty)_\lambda = L_-(\infty) \cap \mathcal{U}_\lambda^-$ .
- (c)  $\tilde{e}_i L_-(\infty) \subseteq L_-(\infty)$  for  $1 \leq i \leq m$  and  $\tilde{f}_i L_-(\infty) \subseteq L_-(\infty)$  for  $1 \leq i \leq m - 1$ .
- (d)  $B_-(\infty) = \bigcup_{\lambda \in Q^-} B_-(\infty)_\lambda$  is a basis of the vector space  $L_-(\infty)/qL_-(\infty)$  over  $\mathbb{C}$ , where  $B_-(\infty)_\lambda = B_-(\infty) \cap (L_-(\infty)_\lambda/qL_-(\infty)_\lambda)$ .
- (e)  $\tilde{e}_i B_-(\infty) \subseteq B_-(\infty) \cup (0)$  for  $1 \leq i \leq m$  and  $\tilde{f}_i B_-(\infty) \subseteq B_-(\infty) \cup (0)$  for  $1 \leq i \leq m - 1$ .
- (f) For any  $1 \leq i \leq m - 1$  and  $b, b' \in B_-(\infty)$ .  $b = \tilde{e}_i b' \Leftrightarrow b' = \tilde{f}_i b$ .

**Proof.** Except for the statements that  $\tilde{e}_m L_-(\infty) \subseteq L_-(\infty)$  and  $\tilde{e}_m B_-(\infty) \subseteq B_-(\infty) \cup (0)$ , all assertions follow from [Kas2, 3.5]. Note that  $\tilde{e}_m \tilde{f}_i = \tilde{f}_i \tilde{e}_m$  for  $i < m$ , so it is clear that we only need to check (recall that  $\tilde{e}_m \cdot 1 = 0$ )

$$\tilde{e}_m(f_{\beta_{i_1}}^- f_{\beta_{i_2}}^- \cdots f_{\beta_{i_k}}^-) \quad 1 \leq i_1 < i_2 < \cdots < i_k \leq m \quad 1 \leq k \leq m.$$

We use induction on  $k$ , first consider  $\tilde{e}_m(f_{\beta_i}^-)$ . For  $i = m$ ,  $\tilde{e}_m(f_{\beta_m}^-) = 1$ . We claim that  $\tilde{e}_m(f_{\beta_i}^-) = 0$  for  $i < m$ . In fact, if  $i = m - 1$ , by (2.17) and (3.1) we have

$$\begin{aligned} \tilde{e}_m(f_{\beta_{m-1}}^-) &= e'_m(f_{\beta_{m-1}}^-) \\ &= e'_m(f_{m-1}f_m - qf_m f_{m-1}) \\ &= e'_m(f_{m-1})f_m + qf_{m-1}e'_m(f_m) - q(e'_m(f_m)f_{m-1} - f_m e'_m(f_{m-1})) \\ &= 0. \end{aligned}$$

Assuming  $\tilde{e}_m(f_{\beta_i}^-) = 0$ , then again by (2.17) and (3.1) we have

$$\tilde{e}_m(f_{\beta_{i-1}}^-) = \tilde{e}_m(f_{i-1}f_{\beta_i}^- - qf_{\beta_i}^- f_{i-1}) = 0.$$

Hence by (2.17) and induction on  $k$ ,

$$\tilde{e}_m(f_{\beta_{i_1}}^- f_{\beta_{i_2}}^- \cdots f_{\beta_{i_k}}^-) = \begin{cases} 0 & i_k < m \\ (-1)^{k-1} q^{k-1} f_{\beta_{i_1}}^- f_{\beta_{i_2}}^- \cdots f_{\beta_{i_{k-1}}}^- & i_k = m. \end{cases}$$

Therefore,

$$\tilde{e}_m(f_{\beta_{i_1}}^- f_{\beta_{i_2}}^- \cdots f_{\beta_{i_k}}^-) \in L_-(\infty)$$

and

$$\tilde{e}_m(f_{\beta_{i_1}}^- f_{\beta_{i_2}}^- \cdots f_{\beta_{i_k}}^-) = 0 \pmod{qL_-(\infty)}$$

which proves the statements about  $\tilde{e}_m$ . □

**Remark.** Since  $f_m f_{m-1} = q^{-1} f_{m-1} f_m - q^{-1} f_{\beta_{m-1}}$ ,  $\tilde{f}_m(L_-(\infty))$  is not included in  $L_-(\infty)$ .

#### 4. Upper case crystal base

Similar to the proof of lemma 3.1 we can prove the following lemma.

**Lemma 4.1.** For  $1 \leq i \leq m - 1$ , we have

$$e'_i(f_{\beta_{i_1}} f_{\beta_{i_2}} \cdots f_{\beta_{i_k}}) = 0 \quad 1 \leq i_1 < i_2 < \cdots < i_k \leq m \quad 1 \leq k \leq m.$$

Let

$$X = \{f_{\beta_{i_1}} f_{\beta_{i_2}} \cdots f_{\beta_{i_k}} : 1 \leq i_1 < i_2 < \cdots < i_k \leq m, 1 \leq k \leq m\} \cup \{1\}$$

let  $\tilde{f}_i, \tilde{e}_i$  ( $1 \leq i \leq m$ ) be the operators defined in section 3 and let

$$B''(\infty) = \{\tilde{f}_{i_1} \cdots \tilde{f}_{i_t} \cdot x : x \in X, 1 \leq i_1, \dots, i_t \leq m - 1\}.$$

Let  $L(\infty)$  be the  $\mathcal{A}$ -submodule of  $\mathcal{U}^-$  spanned by  $B''(\infty)$  and let  $B(\infty)$  be the image of  $B''(\infty)$  in  $L(\infty)/qL(\infty)$ .

**Theorem 4.2.** The pair  $(L(\infty), B(\infty))$  has the following properties:

- (a)  $L(\infty)$  is a free  $\mathcal{A}$ -module and it generates  $\mathcal{U}^-$  as a vector space over  $\mathcal{F}$ .
- (b)  $L(\infty) = \bigoplus_{\lambda \in Q^-} L(\infty)_\lambda$ , where  $L(\infty)_\lambda = L(\infty) \cap \mathcal{U}_\lambda^-$ .
- (c)  $\tilde{f}_i L(\infty) \subseteq L(\infty)$  for  $1 \leq i \leq m$  and  $\tilde{e}_i L(\infty) \subseteq L(\infty)$  for  $1 \leq i \leq m - 1$ .

- (d)  $B(\infty) = \cup_{\lambda \in Q^-} B(\infty)_\lambda$  is a basis of the vector space  $L(\infty)/qL(\infty)$  over  $\mathbb{C}$ , where  $B(\infty)_\lambda = B(\infty) \cap (L(\infty)_\lambda/qL(\infty)_\lambda)$ .
- (e)  $\tilde{f}_i B(\infty) \subseteq B(\infty) \cup (0)$  for  $1 \leq i \leq m$  and  $\tilde{e}_i B(\infty) \subseteq B(\infty) \cup (0)$  for  $1 \leq i \leq m - 1$ .
- (f) For any  $1 \leq i \leq m - 1$  and  $b, b' \in B_-(\infty)$ ,  $b = \tilde{e}_i b' \Leftrightarrow b' = \tilde{f}_i b$ .

It is clear that we only need to prove  $\tilde{f}_m L(\infty) \subseteq L(\infty)$  and  $\tilde{f}_m B(\infty) \subseteq B(\infty) \cup (0)$ . In order to do that, we need some commutation formulae which we will state as lemmas.

**Lemma 4.3.** For  $i < m$ ,  $f_m f_{\beta_i} = -q f_{\beta_i} f_m$ .

**Proof.** We let  $i = m - k$ , and use induction on  $k$ . For  $k = 1$ , use  $f_m^2 = 0$ , we have

$$\begin{aligned} f_m f_{\beta_{m-1}} &= f_m (q^{-1} f_m f_{m-1} - f_{m-1} f_m) = -f_m f_{m-1} f_m \\ &= -q (q^{-1} f_m f_{m-1} - f_{m-1} f_m) f_m = -q f_{\beta_{m-1}} f_m. \end{aligned}$$

Assume the formula is true for  $k \geq 1$ , since  $f_m f_{m-k-1} = f_{m-k-1} f_m$ , we have

$$\begin{aligned} f_m f_{\beta_{m-(k+1)}} &= f_m (q^{-1} f_{\beta_{m-k}} f_{m-k-1} - f_{m-k-1} f_{\beta_{m-k}}) \\ &= -f_{\beta_{m-k}} f_{m-k-1} f_m + q f_{m-k-1} f_{\beta_{m-k}} f_m \\ &= -q f_{\beta_{m-(k+1)}} f_m. \end{aligned}$$

Thus the lemma follows. □

Lemma 4.3 implies that for  $1 \leq i_1 < i_2 < \dots < i_k \leq m$ ,

$$f_m f_{\beta_{i_1}} f_{\beta_{i_2}} \dots f_{\beta_{i_k}} = (-1)^k q^k f_{\beta_{i_1}} f_{\beta_{i_2}} \dots f_{\beta_{i_k}} f_m. \tag{4.1}$$

**Lemma 4.4.** For  $0 \leq i \leq m - 1$ , we have

$$f_{m-i-1}^2 f_{\beta_{m-i}} - (q + q^{-1}) f_{m-i-1} f_{\beta_{m-i}} f_{m-i-1} + f_{\beta_{m-i}} f_{m-i-1}^2 = 0. \tag{4.2}$$

**Proof.** For  $i = 0$ , the formula is just one of the defining relation of  $\mathcal{U}$ . For  $i > 0$ , we have

$$\begin{aligned} f_{m-i-1}^2 f_{\beta_{m-i}} &= f_{m-i-1}^2 (q^{-1} f_{\beta_{m-i+1}} f_{m-i} - f_{m-i} f_{\beta_{m-i+1}}) \\ &= q^{-1} f_{\beta_{m-i+1}} f_{m-i-1}^2 f_{m-i} - f_{m-i-1}^2 f_{m-i} f_{\beta_{m-i+1}} \\ &= q^{-1} f_{\beta_{m-i+1}} ((q + q^{-1}) f_{m-i-1} f_{m-i} f_{m-i-1} - f_{m-i} f_{m-i-1}^2) \\ &\quad - ((q + q^{-1}) f_{m-i-1} f_{m-i} f_{m-i-1} - f_{m-i} f_{m-i-1}^2) f_{\beta_{m-i+1}} \\ &= q^{-1} (q + q^{-1}) f_{m-i-1} f_{\beta_{m-i+1}} f_{m-i} f_{m-i-1} - q^{-1} f_{\beta_{m-i+1}} f_{m-i} f_{m-i-1}^2 \\ &\quad - (q + q^{-1}) f_{m-i-1} f_{m-i} f_{\beta_{m-i+1}} f_{m-i-1} + f_{m-i} f_{\beta_{m-i+1}} f_{m-i-1}^2 \\ &= (q + q^{-1}) f_{m-i-1} f_{\beta_{m-i}} f_{m-i-1} - f_{\beta_{m-i}} f_{m-i-1}^2. \end{aligned}$$

Thus (4.2) follows. □

Formula (4.2) implies

$$f_{m-i} f_{\beta_{m-i}} = q f_{\beta_{m-i}} f_{m-i} \quad 0 \leq i \leq m - 1. \tag{4.3}$$

**Lemma 4.5.** For  $0 \leq i \leq m - 1$ , we have

$$f_{\beta_{m-i}}^2 = 0. \tag{4.4}$$



**Proof.** We use induction on  $i$ . The case  $i = 0$  follows from (2.6). Assume  $f_{\beta_{m-i}}^2 = 0$ , then a simple computation shows

$$f_{\beta_{m-i}} f_{\beta_{m-i-1}} = -q f_{\beta_{m-i-1}} f_{\beta_{m-i}}. \quad (4.5)$$

Thus

$$\begin{aligned} f_{\beta_{m-i-1}}^2 &= (q^{-1} f_{\beta_{m-i}} f_{m-i-1} - f_{m-i-1} f_{\beta_{m-i}}) f_{\beta_{m-i-1}} \\ &= -q f_{\beta_{m-i-1}} f_{\beta_{m-i}} f_{m-i-1} + q^2 f_{\beta_{m-i-1}} f_{m-i-1} f_{\beta_{m-i}} \\ &= -q^2 f_{\beta_{m-i-1}}^2. \end{aligned}$$

(by (4.3), (4.5))

Therefore, by our assumption on  $q$ , the desired formula follows.  $\square$

**Lemma 4.6.** For  $0 \leq i < j \leq m-1$ , we have

$$f_{\beta_{m-i}} f_{\beta_{m-j}} = -q f_{\beta_{m-j}} f_{\beta_{m-i}}. \quad (4.6)$$

**Proof.** Note that the case  $i = 0$  is just lemma 4.3, and by (4.5) the formula is true for  $j = i+1$ . Assume it is true for  $j = i+k$  with  $k \geq 1$ . Then since  $f_{\beta_{m-i}} f_{m-i-k-1} = f_{m-i-k-1} f_{\beta_{m-i}}$ , we have

$$\begin{aligned} f_{\beta_{m-i}} f_{\beta_{m-i-k-1}} &= f_{\beta_{m-i}} (q^{-1} f_{\beta_{m-i-k}} f_{m-i-k-1} - f_{m-i-k-1} f_{\beta_{m-i-k}}) \\ &= -q (q^{-1} f_{\beta_{m-i-k}} f_{m-i-k-1} - f_{m-i-k-1} f_{\beta_{m-i-k}}) f_{\beta_{m-i}} \\ &= -q f_{\beta_{m-i-k-1}} f_{\beta_{m-i}}. \end{aligned}$$

Hence the desired formula follows.  $\square$

**Lemma 4.7.** For  $0 \leq i < m-1$ , we have

$$f_{\beta_{m-i}} f_{m-i-1}^{(k)} = q f_{m-i-1}^{(k-1)} f_{\beta_{m-i-1}} + q^k f_{m-i-1}^{(k)} f_{\beta_{m-i}} \quad (4.7)$$

where  $f_j^{(k)} = f_j^k / [k]!$ .

**Proof.** We use induction on  $k$ . We distinguish between two cases:  $i = 0$  and  $i > 0$ . The case where  $i = 0$  is straightforward, we give the proof for the case where  $i > 0$ . For  $k = 1$ , the desired formula follows from the definition of  $f_{\beta_{m-i-1}}$  (see (2.20)). Assume the formula holds for  $k-1$ , then

$$\begin{aligned} f_{\beta_{m-i}} f_{m-i-1}^{(k)} &= \frac{1}{[k]} f_{\beta_{m-i}} f_{m-i-1}^{(k-1)} f_{m-i-1} \\ &= \frac{1}{[k]} (q f_{m-i-1}^{(k-2)} f_{\beta_{m-i-1}} + q^{k-1} f_{m-i-1}^{(k-1)} f_{\beta_{m-i}}) f_{m-i-1} \\ &= \frac{1}{[k]} f_{m-i-1}^{(k-2)} f_{m-i-1} f_{\beta_{m-i-1}} + \frac{1}{[k]} q^{k-1} f_{m-i-1}^{(k-1)} (q f_{\beta_{m-i-1}} + q f_{m-i-1} f_{\beta_{m-i}}) \\ &= \frac{1}{[k]} (f_{m-i-1}^{(k-2)} f_{m-i-1} + q^k f_{m-i-1}^{(k-1)}) f_{\beta_{m-i-1}} + q^k f_{m-i-1}^{(k)} f_{\beta_{m-i}} \\ &= \frac{1}{[k]} ([k-1] + q^k) f_{m-i-1}^{(k-1)} f_{\beta_{m-i-1}} + q^k f_{m-i-1}^{(k)} f_{\beta_{m-i}} \\ &= q f_{m-i-1}^{(k-1)} f_{\beta_{m-i-1}} + q^k f_{m-i-1}^{(k)} f_{\beta_{m-i}} \end{aligned}$$

and the formula follows.  $\square$

**Lemma 4.8.** For  $0 \leq i, j \leq m - 1$ , we have

$$f_{\beta_{m-i}} f_{m-j} = \begin{cases} f_{m-j} f_{\beta_{m-i}} & j \neq i, i + 1 \\ q^{-1} f_{m-i} f_{\beta_{m-i}} & j = i \\ q f_{\beta_{m-i-1}} + q f_{m-i-1} f_{\beta_{m-i}} & j = i + 1. \end{cases} \quad (4.8)$$

**Proof.** The case  $j = i$  is just (4.3), and the case  $j = i + 1$  follows from (2.20), so we only need to prove the case  $j \neq i, i + 1$ .

Assume  $j \neq i, i + 1$ . The formula clearly holds if  $j > i$ . To treat the case  $j < i$ , we let  $j = i - k$  and use induction on  $k$ . For  $k = 1$ , we have

$$\begin{aligned} f_{\beta_{m-i}} f_{m-i+1} &= (q^{-1} f_{\beta_{m-i+1}} f_{m-i} - f_{m-i} f_{\beta_{m-i+1}}) f_{m-i+1} \\ &= q^{-1} (q^{-1} f_{\beta_{m-i+2}} f_{m-i+1} - f_{m-i+1} f_{\beta_{m-i+2}}) f_{m-i} f_{m-i+1} - f_{m-i} f_{\beta_{m-i+1}} f_{m-i+1} \\ &= q^{-2} f_{\beta_{m-i+2}} f_{m-i+1} f_{m-i} f_{m-i+1} - q^{-1} f_{m-i+1} f_{\beta_{m-i+2}} f_{m-i} f_{m-i+1} \\ &\quad - q^{-1} f_{m-i} f_{m-i+1} f_{\beta_{m-i+1}} \quad (\text{by (4.3)}). \end{aligned}$$

The first term at the last step is equal to

$$\begin{aligned} &\frac{q^{-2}}{q + q^{-1}} f_{\beta_{m-i+2}} (f_{m-i+1}^2 f_{m-i} + f_{m-i} f_{m-i+1}^2) \\ &= \frac{q^{-2}}{q + q^{-1}} (q f_{\beta_{m-i+1}} + q f_{m-i+1} f_{\beta_{m-i+2}}) f_{m-i+1} f_{m-i} \\ &\quad + \frac{q^{-2}}{q + q^{-1}} (q f_{\beta_{m-i+1}} + q f_{m-i+1} f_{\beta_{m-i+2}}) f_{m-i+1} \\ &= \frac{q^{-2}}{q + q^{-1}} f_{m-i+1} f_{\beta_{m-i+1}} f_{m-i} \\ &\quad + \frac{1}{q + q^{-1}} f_{m-i+1} (f_{\beta_{m-i+1}} + f_{m-i+1} f_{\beta_{m-i+2}}) f_{m-i} \\ &\quad + \frac{q^{-2}}{q + q^{-1}} f_{m-i} f_{m-i+1} f_{\beta_{m-i+1}} \\ &\quad + \frac{1}{q + q^{-1}} f_{m-i} f_{m-i+1} (f_{\beta_{m-i+1}} + f_{m-i+1} f_{\beta_{m-i+2}}) \\ &= f_{m-i+1} (f_{\beta_{m-i}} + f_{m-i} f_{\beta_{m-i+1}}) + \frac{1}{q + q^{-1}} f_{m-i+1}^2 f_{m-i} f_{\beta_{m-i+2}} \\ &\quad + q^{-1} f_{m-i} f_{m-i+1} f_{\beta_{m-i+1}} + \frac{1}{q + q^{-1}} f_{m-i} f_{m-i+1}^2 f_{\beta_{m-i+2}} \end{aligned}$$

and the second term is equal to

$$\begin{aligned} &-f_{m-i+1} f_{m-i} (f_{\beta_{m-i+1}} + f_{m-i+1} f_{\beta_{m-i+2}}) \\ &= -f_{m-i+1} f_{m-i} f_{\beta_{m-i+1}} - f_{m-i+1} f_{m-i} f_{m-i+1} f_{\beta_{m-i+2}} \end{aligned}$$

hence

$$f_{\beta_{m-i}} f_{m-i+1} = f_{m-i+1} f_{\beta_{m-i}}.$$

Suppose the desired formula holds for  $k - 1 \geq 1$ , then by what we have proved,

$$\begin{aligned} f_{\beta_{m-i}} f_{m-i+k} &= (q^{-1} f_{\beta_{m-i+1}} f_{m-i} - f_{m-i} f_{\beta_{m-i+1}}) f_{m-i+k} \\ &= f_{m-i+k} (q^{-1} f_{\beta_{m-i+1}} f_{m-i} - f_{m-i} f_{\beta_{m-i+1}}) \\ &= f_{m-i+k} f_{\beta_{m-i}} \end{aligned}$$

so the lemma follows.  $\square$

**Proof of theorem 4.2** To prove  $\tilde{f}_m L(\infty) \subseteq L(\infty)$  and  $\tilde{f}_m B(\infty) \subseteq B(\infty) \cup (0)$ , we consider a typical element of the form

$$\tilde{f}_{i_1} \cdots \tilde{f}_{i_r} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1$$

and use induction on  $r$ . For  $r = 0$ , formula (4.1) implies that

$$\tilde{f}_m f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1 \in L(\infty)$$

and

$$\tilde{f}_m f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1 \equiv 0 \pmod{qL(\infty)}.$$

Consider the case  $r = 1$ . If  $\tilde{f}_{i_1} \neq \tilde{f}_{m-1}$ , then since  $f_m$  commutes with  $f_{i_1}$ , by the above arguments the desired result follows. If  $\tilde{f}_{i_1} = \tilde{f}_{m-1}$ , then by (4.8), we have

$$\tilde{f}_m \tilde{f}_{m-1} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1 = (q f_{\beta_{m-1}} + q \tilde{f}_{m-1} \tilde{f}_m) f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1$$

and by (4.6) we have the desired result. So let us assume that

$$\tilde{f}_m \tilde{f}_{i_1} \cdots \tilde{f}_{i_r} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1 \in B(\infty) \cup (0) \quad (4.9)$$

and

$$q^i f_{\beta_{m-i}} \tilde{f}_{k_1} \cdots \tilde{f}_{k_{r-i}} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1 \in B(\infty) \cup (0) \quad (4.10)$$

where  $k_1 \neq m - i$ . The assumption  $k_1 \neq m - i$  is based on the fact that  $f_{\beta_{m-i}}$  commutes with  $f_{m-j}$  for  $j > i + 1$  and the following formula (see formula (1) on p 253 in [Jan])

$$f_i f_{i+1}^{(k)} = q^k f_{i+1}^{(k)} f_i + f_{i+1}^{(k-1)} (f_i f_{i+1} - q f_{i+1} f_i) \quad (4.11)$$

for  $i < m - 1$ .

Now consider

$$\tilde{f}_m \tilde{f}_{i_1} \cdots \tilde{f}_{i_{r+1}} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1.$$

Then since  $\tilde{f}_m$  commutes with  $\tilde{f}_{m-k}$  for  $k > 1$ , we can assume that  $i_1 = m - 1$ . By formula (4.7), we can further assume that  $i_2 \neq m - 1$ . Since

$$\tilde{f}_m \tilde{f}_{i_1} \cdots \tilde{f}_{i_{r+1}} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1 = (q f_{\beta_{m-1}} + q \tilde{f}_{m-1} \tilde{f}_m) \tilde{f}_{i_2} \cdots \tilde{f}_{i_{r+1}} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1$$

by our induction assumption (4.10), it is clear that we only need to consider

$$q f_m \tilde{f}_{i_2} \cdots \tilde{f}_{i_{r+1}} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1$$

and assume that  $i_2 = m - 2 \neq i_3$  by reasons similar to those in the discussion before. Proceeding similarly, we reduce our case to the term

$$q^{m-1} f_{\beta_1} \tilde{f}_{i_m} \cdots \tilde{f}_{i_{r+1}} f_{\beta_{j_1}} \cdots f_{\beta_{j_s}} \cdot 1.$$

Since formula (4.11) allows us to assume  $i_m \neq 1$ , by (4.8),  $f_{\beta_1} \tilde{f}_{i_m} = f_{i_m} f_{\beta_1}$ , thus by induction assumption (4.10) the induction process goes through and the proof of theorem 4.2 has been completed.  $\square$

## 5. Discussion

We used the reduced form of the quantized enveloping algebra  $\mathcal{U}$  of  $G = sl(m, 1)$  to analyse the structure of  $\mathcal{U}$ . Our result shows that some naturally constructed bases for  $\mathcal{U}^-$  are crystal bases for the subalgebra of  $\mathcal{U}$  corresponding to the even part of  $G$  in the sense of [Kas1]. In [Z2], these bases were shown to have the property that when applied to a highest-weight vector of a simple module (of certain type), the non-vanishing ones form a crystal base for the module (for the subalgebra corresponds to the even part of  $G$ ) in the case of  $sl(2, 1)$ . Further attention should be given for the general cases.

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